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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
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*Randy Grigurich*

JC915 U.S. PTO  
09/718754  
11/22/00

Attorney Docket No. 1189

November 22, 2000

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

### UTILITY PATENT APPLICATION TRANSMITTAL

Inventor(s): Lappegard et al.

Title: Seed-Preferred Regulatory Elements and Uses Thereof

#### APPLICATION ELEMENTS

1. ☐ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 39]

(Preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☐ Drawing(s) (35 USC 113) [Total Sheets       ]

- a. ☐ Formal
- b. ☐ Informal

Attorney Docket No.: 1189

4. ☒ Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☒ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy) [Total Pages 7]
- c. ☒ Statement verifying identity to above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(where there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS/PTO-1449) ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired
14. ☐ Certified Copy of Priority document(s)
15. ☐ Other:

[illegible]

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application  
No. \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☐ Customer Number or Bar Code Label: \_\_\_\_\_  
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☒ Correspondence address below

Address: Pioneer Hi-Bred International, Inc.  
Corporate Intellectual Property  
7100 N.W. 62<sup>nd</sup> Avenue  
P.O. Box 1000

City Johnston State: Iowa Zip Code: 50131-1000

Country: USA Phone: (515) 253-5707 Facsimile: (515) 334-6883

☒ The total fee is calculated as shown below:

Basic Filing Fee					\$ 710.00
Total Claims	39 - 20	=	19	x \$ 18.00	342.00
Independent Claims	9 - 3	=	6	x \$ 80.00	480.00

<input type="checkbox"/> Multiple Dependent Claims present	+	\$270.00	\$
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Attorney Docket No.: 1189

- ☒ Charge \$1,532.00 to Deposit Account No. 16-1852.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to our Deposit Account No. 16-1852.
- ☒ A duplicate of this transmittal is enclosed

Respectfully submitted,



Kathryn K. Lappegard  
Agent for Applicant(s)  
Registration No. 46,857

PIONEER HI-BRED INTERNATIONAL, INC.  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1c915 U.S. PTO  
09/118754  
11/22/00

In re Application of Lappegard et al. for "Seed-Preferred Regulatory Elements and Uses Thereof"

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

Express Mail Label Number: EL 437306231US

Date of Deposit: November 22, 2000

I hereby certify that the attached is being mailed by being deposited with the United States Postal Service as Post-Office-to-Addressee Express Mail addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

*Randy Grgurich*  
RANDY GRGURICH

11-22-00  
DATE

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